



PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 1

Application Number	10/016,057
Filing Date	November 2, 2001
First Named Inventor	Hui, H.K. et al.
Group Art Unit	1744
Examiner Name	
Attorney Docket Number	ASP-31

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear
		Number	Kind Code ² (if known)			
A J		4,956,145		Cummings et al.	09/11/1990	
		5,552,320		Smith	09/03/1996	
		5,801,010		Falkowski et al.	09/01/1998	
		5,847,393		Van Den Berg et al.	12/08/1998	
		5,872,359		Stewart et al.	02/16/1999	
		6,061,141		Goldenberg et al.	05/09/2000	
		6,269,680	B1	Prieve et al.	08/07/2001	

FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear	T ⁶
		Office ³	Number ⁴	Kind Code ⁵				
		WO	01/45754	A1	Ethicon Endo-Surgery, Inc.	06/28/2001		

Examiner Signature	<i>[Signature]</i>	Date Considered	9/6/2001
-----------------------	--------------------	--------------------	----------

*EXAMINER: Initial reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS, SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

SUBMISSION UNDER MPEP 609 D

Page 1 of 1

Application Number	10/016,057
Filing Date	November 2, 2001
First Named Inventor	Hui, H.K. et al.
Group Art Unit	1744
Examiner Name	Not Assigned
Attorney Dock # Number	ASP-31

U.S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

[illegible]

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

[illegible]

Examiner Signature	<i>Blumenfeld</i>	Date Considered	9/26/2004
-----------------------	-------------------	--------------------	-----------

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
JOHNA.049CP2APPLICATION NO.
10/016,057SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
BY APPLICANTAPPLICANT
Hui, et al.FILING DATE
November 2, 2001GROUP
1744

(USE SEVERAL SHEETS IF NECESSARY)

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)

RECEIVED
AUG 29 2002
TC 1700

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
KJ	WO 01/07092 A1	01.02.2001	PCT				

EXAMINER
INITIAL

OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)

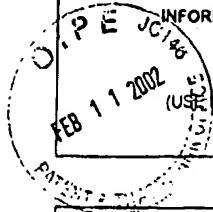
H:\DOCS\BSI\BSI-2956.DOC:sam
082302

EXAMINER <i>Shiyan Zhang</i>	DATE CONSIDERED <i>9/26/2004</i>
*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.	

**COPY OF PAPERS
ORIGINAL FILED**

SHEET 1 OF 2

FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. JOHNA.049CP2	APPLICATION NO. 10/018,057
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (USE SEVERAL SHEETS IF NECESSARY)		APPLICANT Hui, et al.	
		FILING DATE November 2, 2001	GROUP Unknown



U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
H		4,169,123	09/25/1979	Moore, et al.	1	1	
1		4,169,124	09/25/1979	Forstrom, et al.	1	1	
		4,643,876	02/17/1987	Jacobs, et al.	1	1	
		4,756,882	07/12/1988	Jacobs, et al.	1	1	
		4,767,601	08/30/1988	Kuerzinger, et al.	1	1	
		4,783,317	11/08/1988	Kuerzinger, et al.	1	1	
		4,843,867	11/08/1988	Cummings	1	1	
		5,167,927	12/01/1992	Karlson	1	1	
		5,600,142	02/04/1997	Vandenberg, et al.	1	1	
		5,608,158	03/04/1997	Ando, et al.	1	1	
		5,656,238	08/12/1997	Spencer, et al.	1	1	
		5,667,753	09/16/1997	Jacobs, et al.	1	1	
		5,730,949	03/24/1998	Romine	1	1	
		5,780,715	07/14/1998	Imblum	1	1	
H		5,942,438	08/24/1999	Antonoplos, et al.	1	1	

RECEIVED
MAR 27 2002
FC-1700

RECEIVED
MAR 27 2002
FC 1700

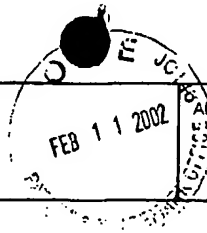
FOREIGN PATENT DOCUMENTS							
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
H	EP 0 178 507 A1	04/23/1986	Europe	1	1	YES	NO
	EP 0 262 670 A1	06/04/1988	Europe	1	1		
	GB 2 191 585 A	12/16/1987	Great Britain	1	1		
	DE 42 12 910 A1	10/21/1993	Germany	1	1		
	JP-8038583-A	02/13/1996	Japan	1	1		
	WO 91/05998	05/02/1991	International	1	1		

EXAMINER <i>Kumie for Bzab</i>	DATE CONSIDERED <i>9/26/2004</i>
*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.	

COPY OF PAPERS
ORIGINALLY FILED

SHEET 2 OF 2

FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. JOHNA.049CP2	APPLICATION NO. 10/016,057
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (USE SEVERAL SHEETS IF NECESSARY)		APPLICANT Hui, et al.	
		FILING DATE November 2, 2001	GROUP Unknown



EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)
KJ	A Dictionary of Chemistry, Publisher: Oxford University Press, Date: 1996, Edition: Third, Pages: 253, 384.

H:\DOCS\BSI\BSI-2105.DOC:sam
011602

RECEIVED
MAR 2-7 2002
TC 1700

EXAMINER <i>Krompholz</i>	DATE CONSIDERED <i>9/06/2004</i>
*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 809; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.	